

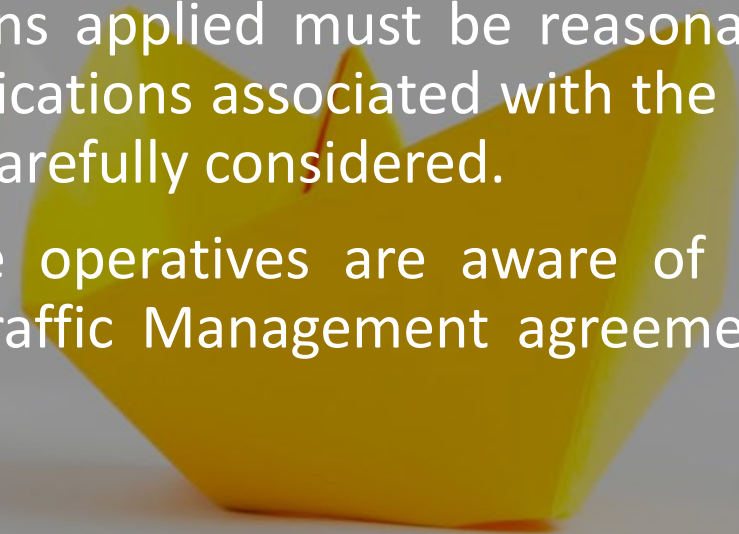
National Conditions Text (NCTs) – Overview & Analysis

A collaborative briefing by your Regional
HAUC



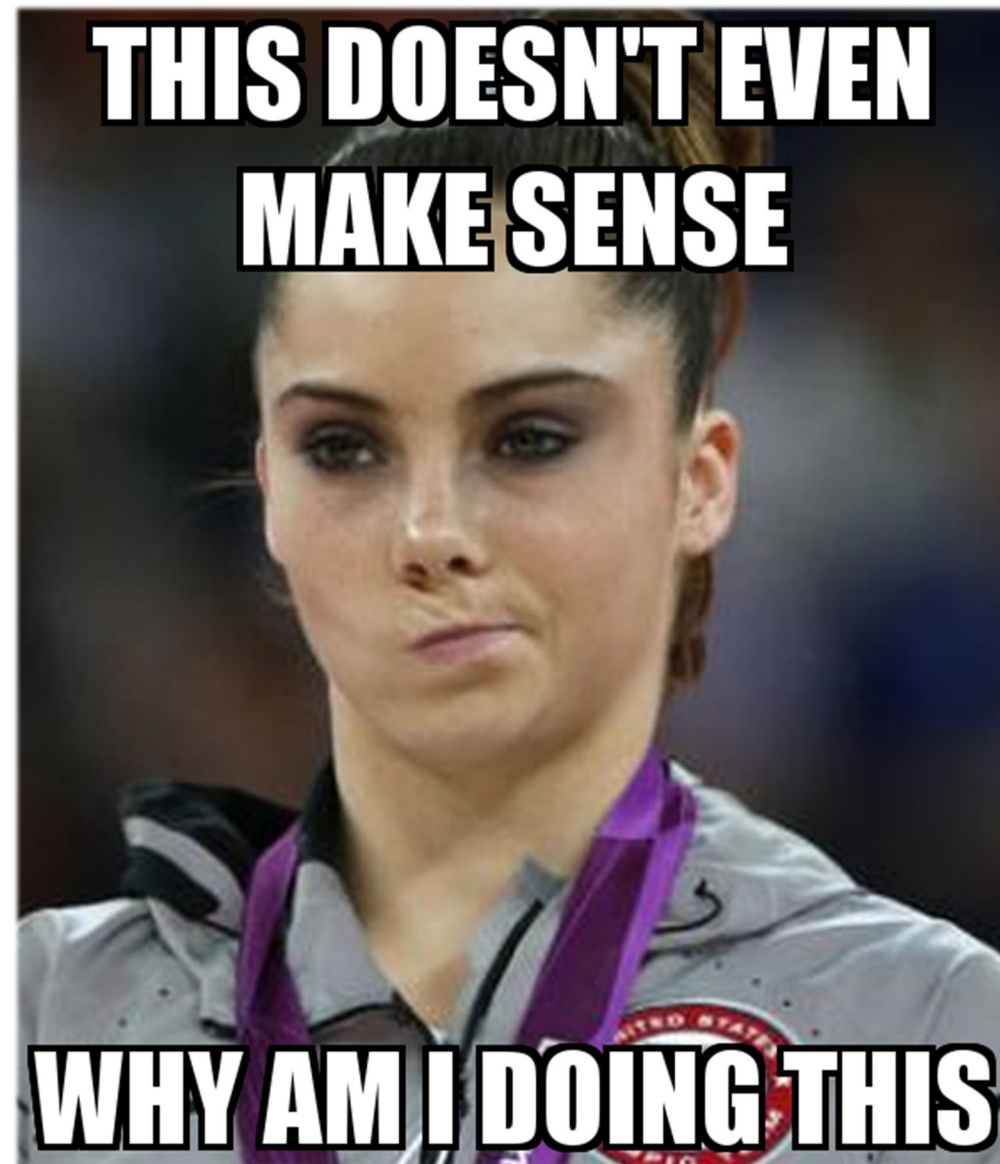
Statutory & Operational Guidance for Permit Schemes & use of National Conditions Text (NCT)

- It is highly recommended that permit applications include any conditions that the work promoter feels are appropriate for the works being undertaken (planned activities only).
- Any additional authority imposed conditions applied must be reasonable and comply with Regulations. Any cost implications associated with the use of conditions should be proportionate and carefully considered.
- Works promoters should ensure that site operatives are aware of the conditions attached to permits and the Traffic Management agreements that are in place.



Some common misunderstandings

- **NCT04a - Pursuant to NCT4a, for the activities hereby permitted, it is a condition of this permit that all excavated and imported backfill materials are placed on ply board and not directly on the surface of the footway or carriageway. Please update the permit with this condition. Failure to execute this instruction will inevitably result in the permit being revoked.**
- **Pursuant to NCT10a for the activities hereby permitted, it is a condition of this permit that all excavated and imported backfill materials are placed on ply board or PE sheet and not directly on the surface of the footway or carriageway. Please update the permit with this condition. Failure to execute this instruction will inevitably result in the permit being revoked.**
- **NCT04a – site to be jet-washed after works are completed**
- **NCT06A – Northbound lane and footway restrictions to be implemented. Minimum 3.3m width to be maintained for vehicles at all times; minimum 1.2 m maintained for pedestrians at all times**
- **NCT09D - Changes in TM will be agreed with HA prior to any amendment on site (should be NCT09a as permit is not Major, nor on a Cat0-2 street).**
- **These are urgent works on a critical link road, so therefore, I will require that you please add the NCT02b back to this permit, as we cannot have temp lights here over the weekend with no works taking place.**
- **NCT09b, parking bays to be suspended. Please attach a copy of the suspension application to the permit.**
- **NCT11b - Please ensure you have notified our public transport team and Kent CC failure to do so could result in your permit being revoked**
- **NCT10a - first time permanent reinstatement to be carried out**
- **NCT08a - MULTI WAY LIGHTS in place**



Guidance

Conditions play an important part within the permitting process and the guidance given within this document defines when and how conditions should be applied and how these should be communicated.

It also offers to allay any misinterpretation of conditions by giving clear examples of **when appropriate conditions should be used and when existing legislation is sufficient to enable works are deployed in a safe manner.**

Part II: Guidance on the Use of National Condition Text

The purpose of this part of the HAUC England guidance document is to help you **effectively and proportionately use permit conditions** to mitigate the impact of street and road works on those affected by them in their daily lives.



“

*Make it clear,
concise and
specific.*

NCT01 (a)(b)



Date Constraints

- Applies to ALL permits and is automatically attached
- Permit should **not** be refused for inclusion or exclusion of this condition



NCT02a



Time Constraints

- Authority or Promoter driven
- Limits time of day
- Clarifies the timing of occupation
- In theory 'Reduces the working hours'

NCT02a – activities to take place 0930-1500 & 1600-1800 hrs weekdays only, all other times highway to be clear due to nearby school

Normal working Hours (Permits)

8am-6pm Mon to Fri

8am-1pm Sat

No works on a Sunday



NCT02b



Time Constraints

- Authority Driven
- Extends the time of day for works
- Clarifies the timing of occupation
- In theory 'increases the working hours' *as agreed* between authority & promoter
- **NOT** for Immediate works (as cannot be agreed in advance)

NCT02b – extended hours 0700-2300 weekdays and 0900-1500 weekends



NCT03



Activities ancillary to those permitted – supplementary information

- Promoter driven
- Ancillary info to be added for one or more of 4 specific items
- Added to permit when works are planned and/or when works start on site

*NCT03 - Light heads placed at j/o Low Street on High Street,
Middle Street & Clover Road*



NCT03



Activities ancillary to those permitted – supplementary information

- The following table shows when ancillary information or an optional permit should be used

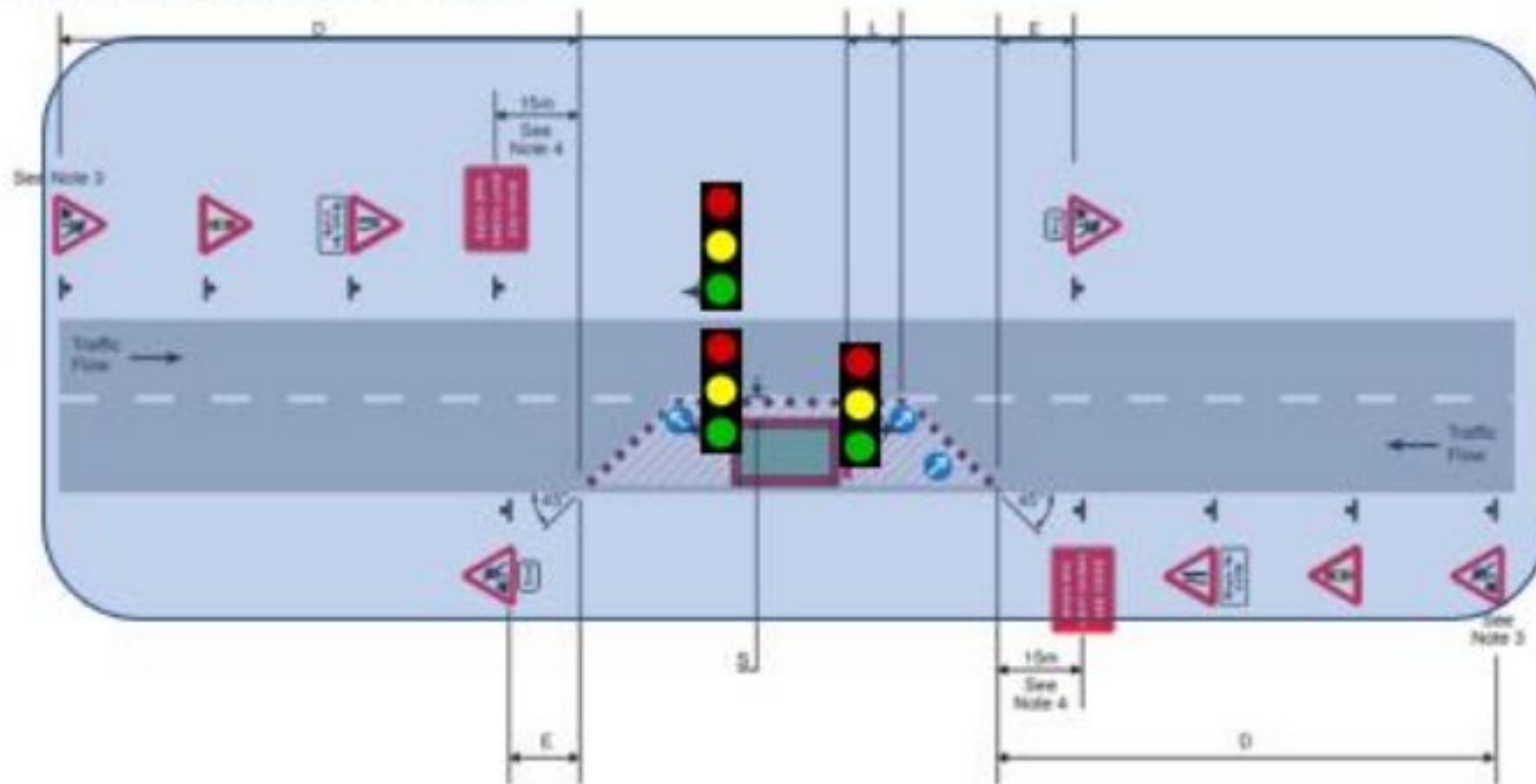
Scenario	Recommended Transaction	Process
1. Ancillary activities placed within the works' footprint.	Permit – no additional transaction required.	N/A
2. Ancillary activities in the same street close to the works.	Permit – no additional transaction required.	N/A
3. Where the ancillary activities are on an adjacent street or they are remote from the work site.	Add ancillary information to existing permit.	Via Street Manager at time of sending permit or within response period
4. Ancillary items not on the same or adjacent street.	optional permit	Street Manager
5. Ancillary items for any USRNs in a different authority, including National Highways	optional permit	Street Manager
6. Major works affecting more than one USRN.	One permit per USRN needed. No need to send ancillary info on each permit - send single Optional Permit for shared ancillary activity	Street Manager

NCT03



Example 1: Ancillary activities within or close to works' site – No ancillary information required as included in permit application.

Traffic control by portable traffic signals

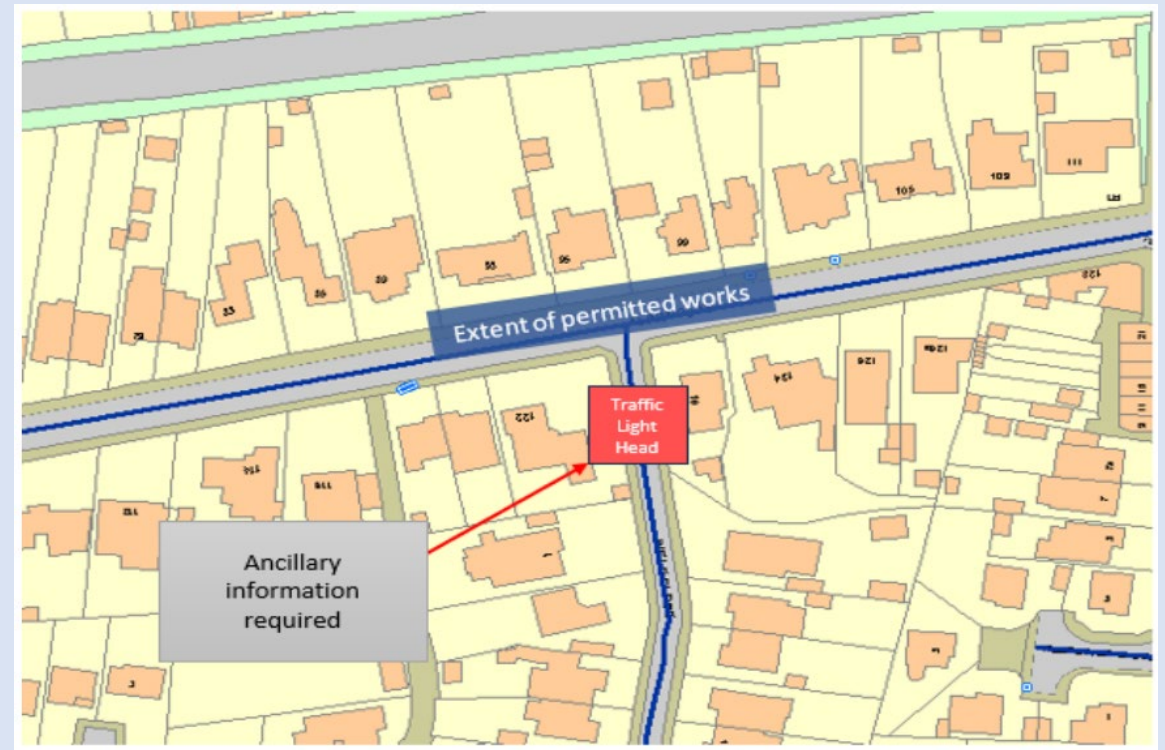
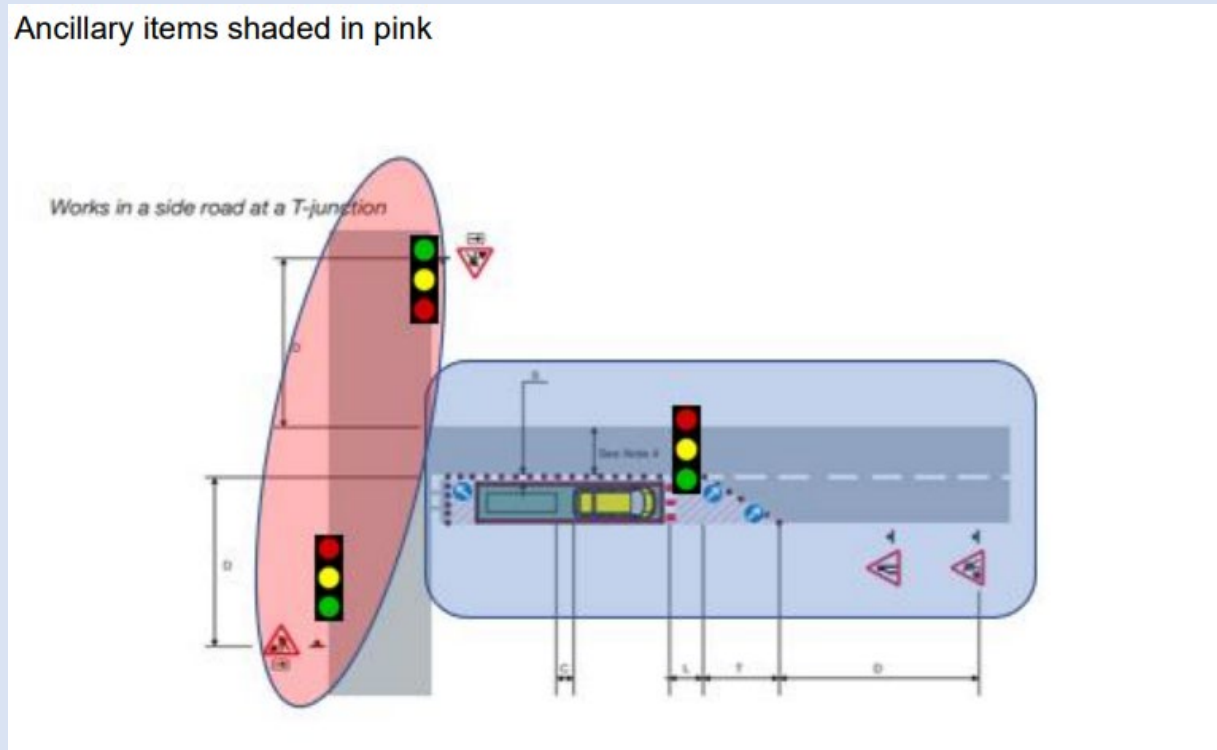


NCT03



Ancillary Activities on adjacent streets

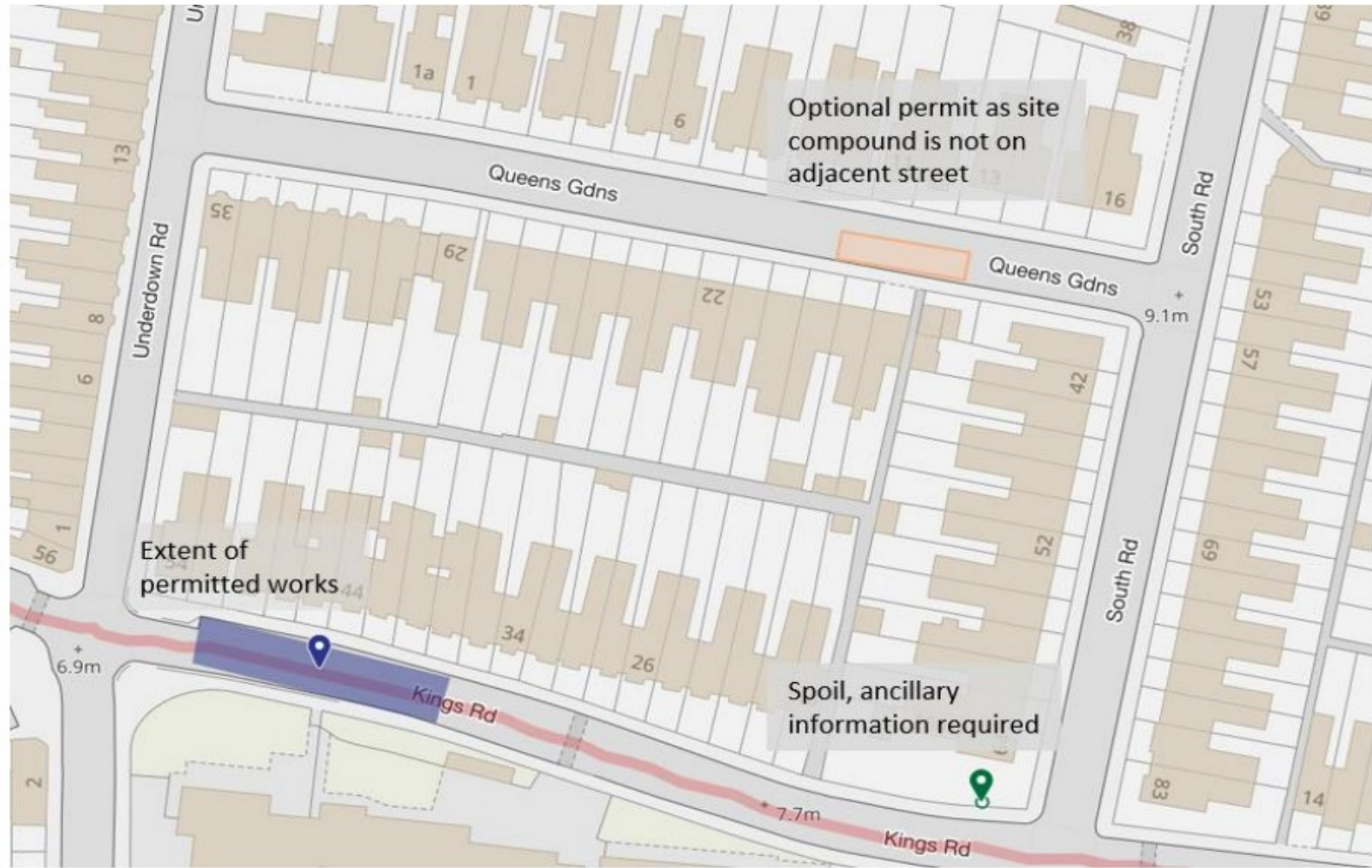
Ancillary items shaded in pink



NCT03



Example 3: Ancillary Information and Optional Permit



NCT03



Example 4: Items on different streets



NCT04a



Removal of surplus material / plant

- Authority driven
- Condition designed to:
 - Limit impact of excess plant/materials
 - Ensure removal at specific intervals
- No blanket application (site specific)
- Timescales to be reasonable / realistic
- Necessary to provide reason for inclusion
- Compliance to RPS211/298 (Testing of excavated waste) will increase grab movements, disruption and pollution



NCT04a – spoil to be removed by 13:00 on Saturday due to football match/protest march/farmer's market/10km run

NCT04b



Storage of surplus material / plant

- Authority driven
- Condition designed to:
 - Limit time plant/materials on site before they are used
 - Limit area plant/materials occupy before they are used
 - Does NOT include Traffic Management equipment or signs/cones/barriers
- No blanket application (site specific)
- Necessary to provide reason for inclusion

NCT04b – planing machine to be brought to site no earlier than 3 hours before works start due to winter parade



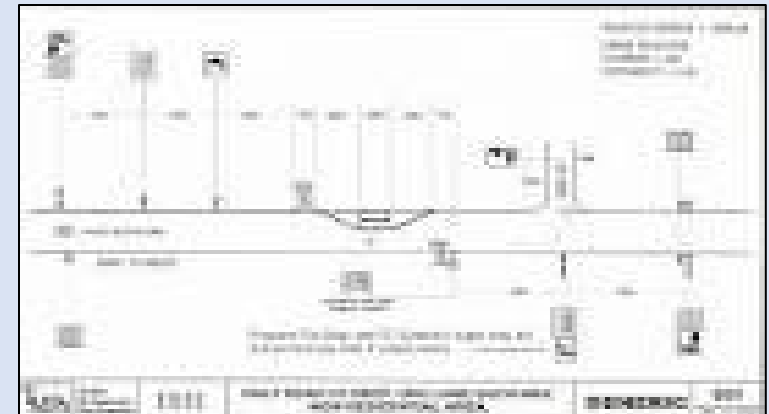
NCT05a



Road Occupation Dimensions

- Authority driven
- Condition designed to :
 - define the area in which the works can be undertaken
 - describe area within bracketed text or within a Traffic Management plan
- No blanket application
- Must be reasonable, site specific and as agreed

NCT05a - works restricted to area agreed in TM plan ref 0001/25

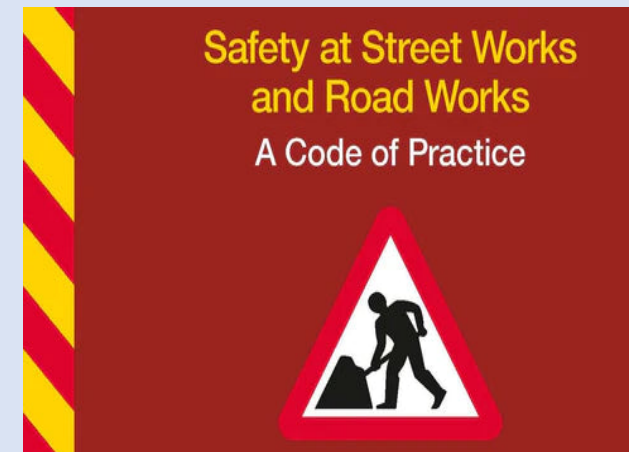


NCT06a



Traffic Space Dimensions

- Authority driven
- Condition designed to define the minimum width of a footway/carriageway to be maintained at certain times
- No blanket application (site specific)
- Only be applied where it is necessary to maintain dimensions **in excess** of the Safety CoP provisions
- Necessary to provide a reason for inclusion
- NOT to define 'pedestrian walkway required'
- NOT used to enforce the Safety Code provisions



NCT06a – a minimum width of a 2.0mtr footway shall be maintained between 09-00 and 14-00 due to high footfall for exhibition in the Town Hall

NCT07a



Road Closed to Traffic

- Authority driven
- Condition designed for when a Road closure(s) is required on a different USRN(s) to this permit
- **NOT** to be applied when TM on this permit is Road Closure
- Imposed by the Permit Authority to increase site safety and/or control the traffic flow in the area



NCT07a - requires closure of High Street, from jct High Road to o/s 291 Low Road

NCT08a



Traffic Management Request

- Authority Driven
- Where TM over and above the Safety Code is required and agreed in advance
- To be used where TM has **NOT** been stated by promoter, or if more stringent traffic management is required over and above what is stated
- When certain TM is required at specific times
- NOT applied on every occasion TM is used

NCT08a - 2-way PLS in place, Stop Go 07:00 – 09:00 required due to peak traffic flows causing congestion



NCT08b



Manual Control of Traffic Management

- Authority driven – site/time specific
- Purpose to monitor & improve traffic flow
- Not applied to all permits with TM, or on every TS street
- Defined outcome/purpose must be given
- Improved innovative technology to control signals may be included (e.g. AutoGreen etc.)
- Need to balance the requirement for 'man on site' vs the risk of roadworker abuse
- Can apply to ALL types of work, including Immediate Activities



NCT08b – manual control 07:00 – 09:00 required due to peak traffic flows causing congestion (A417) Priority to Northbound traffic

NCT09a



Changes to Traffic Management arrangements

- Promoter driven
- **ONLY APPLIES TO MAJOR PERMITS on all streets other than category 0,1 & 2 (see NCT09d)**
- Condition text must include details of the dates the different TM types to be used (as per agreed TM plan)
- Agreement must be made with LA prior to changes being made on site if in progress

NCT09a – road closure in place between 01/04 and 05/04 then reduced to two way for the remaining duration



NCT09b



Traffic Management Arrangements

- Authority driven
- Drives TM to be moved/completed prior to further phasing of works
- Details to be supplied on a TM plan, if required

NCT09b – site to be cleared on Low Street footway before works starting on High street footway as both footways cannot be closed at the same time due to high footfall



NCT09c



Signal Removal from operation when no longer required

- Authority driven
- Requires removal from the traffic flow of Portable traffic signals
- Within 4 hours of when signals are no longer required
- Longer curing time due to specialist materials/weather conditions exceeding 4 hours should be advised
- Should not cause obstruction



NCT09c - temp lights removed within 4 hours, high/low temperature conditions may impact curing time

NCT09c



Signal Removal from operation when no longer required

- The following table shows more details on how to use this condition

Works location	Works	Removal of portable signals within 4 hours?
Verge	Reinstating verge	Yes – no wait for curing of materials
Footway only	Reinstatement of footway or modular footway	Yes – unless full reconstruction of footway or pedestrian walkway needs to be maintained
Cycleway	Footway or cycleway reinstatement including cycleway and cycleway marking replacement as applicable	Yes – 4 hours from point of markings being replaced (to take place as soon as reinstatement allows)
Carriageway	Carriageway reinstatement. Differing requirements for different street categories, materials etc. Phased works with temporary traffic signals moved to side if needed.	Yes – phases should be achieved within 4 hours. Unless the materials specified within the SROH, and associated factors (outside temperature) would see the curing period exceed 4 hours.
Specialist surfacing/full road markings	Specialist surfacing and full road markings likely to be 2 nd phase of existing works. Temporary traffic signals would be reinstated for the duration of these works.	Yes – phases should be achieved within 4 hours. Longer curing requirements should be conditioned on permit when known (see above)

NCT09d



Changes to traffic management arrangements

- **Mandatory on ALL MAJOR PERMITS** on Category 0, 1, and 2 streets
- Condition text must include details of the dates the different TM types will be used (as per agreed TM plan)
- A non-chargeable 'update current TM type' notification must be issued when the TM changes on site



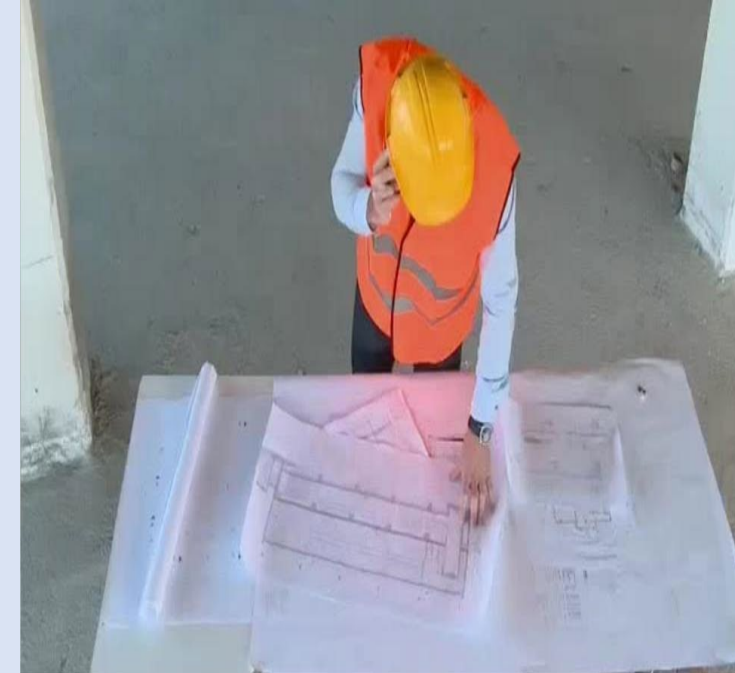
NCT10a



Employment of appropriate methodology

- Methodology **agreed** in advance, and always subject to engineering considerations
- Condition to be used by *exception*
- Specific to *particular* works, not to simply state “Open Cut” on works
- May be more than one methodology required
- Could be utilised for lifting of certain surfaces by highway authority contractors
- **NOT** to be used for 1st time reinstatement or half/full width reinstatement

NCT10a - works via hand dig in verge and footway due to protected tree



NCT11a



Display of a Permit Number

- Condition is standard to all permits
- Not required as part of application, but inclusion is not a reason for refusal
- On site, correct permit reference number should be clearly visible to pedestrians
- Multiple signs with the correct permit number may be requested **and agreed** on larger sites as a courtesy
- The **requirement** is for ONE permit board



NCT11b



Publicity for proposed works

- Condition designed to ensure affected road users and residents are given advanced warning of works
- Advanced warning *may* include Letter Drop, AWS, VMS, Social Media messaging, needs to be appropriate for the works
- No blanket application (site specific), **and only applicable where proposed works have a longer lead-in time**
- Necessary to provide reason for inclusion
- Requirement must be **reasonable, proportionate and agreed**
- Condition is NOT for 'consultation with highway users'

NCT11b – VMS with agreed message to be installed 2 weeks before works start at the agreed strategic locations



NCT12a



Limit time of certain works activities

- Condition designed to ensure certain elements of works activity need to take place at specific times
- Often used to specify/limit times of antisocial activities such as noisy works
- Events: Parades, Sports matches, Remembrance Sunday
- Must not clash with any Environmental Health regulations



NCT12a - digging activities may not take place after 10pm each night

NCT13



Exceptional circumstance

- MUST be agreed with works promoter prior to application
- Authority driven
- Not routinely used
- Only where site requirements dictate and where a “foreseeable” scenario occurs
- It is NOT a local condition
- Exceptional circumstances (e.g NATO Conference, roads that egress seaports, airports or international transport hubs, tank crossing, polling station access)



Contact Details

- How can we speak to the correct person – ensure the right number in the right place on the permit (this works both ways)
- Works Comments: good practice to put name and number for a conversation to happen – it's good to talk
- GDPR issues and Open Data – contact details are **not** shared

Highway Authority Imposed Changes



- **HA Imposed change**
- If a Permit Authority needs to make a change to the conditions on a granted permit it can do so by sending the promoter a HA imposed change
- A HA Imposed change should only be sent where it is not possible to send another permit response e.g. Grant or modification request and should only be sent due to *unforeseen* circumstances once a permit has been granted.
- The reason for the change should be made clear. It is good practice and highly recommended that a HA imposed change is preceded by a conversation with the works promoter about the reason for the change
- The highway authority **MUST** input the agreed change in Street Manager

Revoke Permit



- **8.5 Revocation of permits**
- Permits should only be revoked by the permit authority in exceptional or unforeseen circumstances, *repeated* breach of conditions or safety issues
- In such circumstances, the Authority should inform the works promoter as soon as possible and explain the reason for the revocation

Streetworks Permits



- Application / Response table by work type:

Activity Type	Validity Period*	Application Times			Response Times		
		Before Start Date		Before End Date	From Application Date		
		PAA / S54	PA / S55	VA	PAA / S54	PA / S55	VA
Major	5 Days	3 months	10 Days	2 Days or 20% of the original duration whichever is longest	1 month	5 Days	2 Days
Standard	5 Days	n/a	10 Days		n/a	5 Days	2 Days
Minor	2 Days	n/a	3 Days		n/a	2 Days	2 Days
Immediate	n/a	n/a	2 Hours After		n/a	2 Days	2 Days

*Validity period is the starting window for works on road category 3-4, non traffic sensitive streets.

Working group



- Paul Dooley – UKPN – SEHAUC
- Allan Pike – West Sussex council – SEHAUC
- Trudi McLeod – Southeast Water – SEHAUC
- Paul Wood – Medway council – SEHAUC
- Jan Chapman – Thames Water – SWHAUC
- Chris Powell – Cityfibre – SWHAUC
- Jack Terry – Bristol City council – SWHAUC
- Kirsty Boddy – Gloucestershire council – SWHAUC
- Peter Loft – Thames Water – LHAUC
- Matt Rock- Transport for London – LHAUC

Special guest

- Patrick Thompson – Virgin Media - NWWHAUC

Useful Links

- Co-ordination Code: <https://www.gov.uk/government/publications/street-works-co-ordination-gov.uk>
- Inspections Code - <https://www.gov.uk/government/publications/street-works-inspections-gov.uk>
- Safety Code (current) – <https://www.gov.uk/government/publications/safety-at-street-works-and-road-works-gov.uk>
- SROH - <https://www.gov.uk/government/publications/specification-for-the-reinstatement-of-openings-in-highways-gov.uk>
- Statutory Guidance for Conditions - <https://www.gov.uk/government/publications/street-works-permit-schemes-conditions-gov.uk>
- HAUC England Permit Operational Guidance - <https://www.jaguk.org/documents/hauc-england-guidance-for-the-operation-of-permit-schemes-jaguk.org>
- Road Worker Abuse <https://vimeo.com/712140583>